

# Application Form

## Welcome to E\*TRADE Australia.

To ensure that your application is processed as quickly as possible, follow the steps outlined below. Once we have received and approved your application we will send you an email to confirm when your account is open and ready to trade.

- Step 1:** Complete and sign this document.
- Step 2:** Provide an original copy of your most recent nominated Bendigo Bank or Adelaide Bank account statement. If you would like to transfer existing shares into your E\*TRADE account, we need a copy of your shareholder statements. We do not accept online statements.
- Step 3:** Mail to:  
Leveraged Equities  
Attention: Client Services Team  
GPO Box 5388  
Sydney NSW 2001

If you have any questions about this document, please contact our Customer Assistance Team on **1300 658 355**, Monday to Friday 8am - 8pm AEST or email us at [service@etrade.com.au](mailto:service@etrade.com.au).

### 1. Select Your Account Type

( Please  one  only )

	Explanation:	Correct CHESS Registered Account Name:
<input type="checkbox"/> <b>Individual</b>	Use full names	Mr Peter Robert Smith
<input type="checkbox"/> <b>Joint</b>	Use full names	Mr Peter Robert Smith + Mrs Sarah Anne Smith
<input type="checkbox"/> <b>Company</b> (ACN required)	Use full company name and not abbreviations	A Company Pty Ltd
<input type="checkbox"/> <b>Registered Body</b> (ARBN required)	Use office bearer/s name/s	Mr Peter Robert Smith <Australian Tennis Club <b>A/C</b> >
<input type="checkbox"/> <b>Superannuation Fund</b>	Use S/F to abbreviate for "Super Fund" and use name of trustee of fund, not name of fund	A Company Pty Ltd <A Company S/F <b>A/C</b> > or Peter Robert Smith <Smith Family S/F <b>A/C</b> >
<input type="checkbox"/> <b>Trust</b>	Use name/s of trustee/s or custodian/s and do not use the words "as trustee for" or "trust"	Mr Peter Robert Smith + Mrs Sarah Anne Smith <Smith Family <b>A/C</b> >

### 2. Please provide a CHESS Registered Account Name

The CHESS registered account name will be the name of your account and also the name in which your shares will be bought and sold. If you are transferring securities the Registered Account Name must be **EXACTLY** the same as on your last holding statement. If you intend to operate the account on behalf of a super fund, or trust, you must provide the name of the entity or person in the Account Designation field. For other account types this is optional.

Account Name:

**Nominating an Account Designation is only required if you are operating the account as trustee on behalf of a Super Fund, Registered Body, or Trust. If this is the case, please provide the name of the entity/person on whose behalf the trustee is acting.**

Account Designation:

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### 3. Account Holder 1/Company Contact

If you are an individual or the first named Account Holder of a Joint Account, the primary contact person for a Company, Registered Body, Super Fund or Trust Account, please complete all fields in this section.

Mr  Mrs  Ms  Miss  Other  Gender: Male  Female  Date of Birth:

Family Name:  Position Held:

Given Name/s:  Occupation:

### 4. Account Holder 2 (Joint Account Only)

To be completed only if you are the second named Account Holder of a Joint Account.

Mr  Mrs  Ms  Miss  Other  Gender: Male  Female  Date of Birth:

Family Name:  Position Held:

Given Name/s:  Occupation:

### 5. Company/Registered Body Account

If you are applying on behalf of a Registered Body or Company, please provide us with the details below. If not, please continue to question 8. For a Company account, please provide your ACN. For a Registered Body, please provide your ARBN.

ACN/ARBN:

### 6. Residential Address or registered Business Address: PO Boxes cannot be accepted

Individual and Joint Accounts: Please provide a residential address. Company, Super and Trust Accounts: Please provide the registered street address. **P.O. Box addresses cannot be accepted.** Only Australian & New Zealand addresses can be accepted.

Address:

Suburb/City:  State:  Postcode:  Australia  New Zealand

### 7. Postal Address (if different from above)

Address:

Town/Suburb:  City/State:  Postcode:  Country:

### 8. Email Address and Contact Details

Email:

We require a valid email address in order to communicate with you electronically. Should the need arise to contact you regarding urgent matters, we require at least one phone number and a fax number (if available). Please tick your preferred means of contact (other than email).

Phone Bus:    Phone Hm:

Mobile:    Fax:

### 9. Please nominate a Phone Password

A Phone Password is required whenever you call E\*TRADE to discuss your account. You will be asked to quote this Phone Password so it's important you select something you're sure to remember.

Phone Password:

**10. Already an E\*TRADE customer? (optional)**

If you're already an E\*TRADE customer and would like your new account linked to your existing account, please provide us with your E\*TRADE User ID:

User ID:

**11. Existing Margin Lending Account Information**

Facility Number:

HIN Number:

Note: In order to confirm your identity, we also require a recent copy of your Bendigo Bank or Adelaide Bank statement, which includes the account, name, BSB and account number. **Please ensure this is attached when you submit this application.**

**12. Tax File Number (TFN) (optional)**

Individual and Joint Accounts: Please provide the TFN for each Account Holder.  
Company, Registered Body, Super Fund or Trust Accounts; please provide the TFN for that entity.

TFN 1:  or Exemption:  TFN 2:  or Exemption:

**13. Contract Notes**

Please choose the method you would like to receive your Contract Notes. You may choose more than one format.

- Email Contract Notes free of charge. NB: All customers receive emailed contract notes.
- Mail Contract Notes to my registered address. A fee of \$2.20 (inc GST) will be added to the standard brokerage.
- Send an SMS message to my mobile phone with Contract Notes details. A fee of \$0.33 (inc GST) will be added to the standard brokerage.

**14. Are you a Qantas Frequent Flyer member? (optional)**

Provide us with your Qantas Frequent Flyer number and you can earn points as you trade with E\*TRADE. The Qantas Frequent Flyer number should be under the same surname as the Account Holder. Joint Accounts: You may provide another Qantas Frequent Flyer number for the second named Account Holder.

Qantas Frequent Flyer number:  Qantas Frequent Flyer number 2:

**15. Opt in to receive marketing communications**

- Yes, I am happy for E\*TRADE Australia to contact me in the future about new products, services, offers or competitions via mail, email, phone and/or SMS. I understand that I can change this preference at any time online.
- I do not want to opt in.

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## 16. Declaration

- All applicants must sign this application.
- I/We have read and agree to be bound by the E\*TRADE Australia Securities Limited (E\*TRADE Australia) Terms and Conditions.
- I/We have read and agree to be bound by the CHESS Sponsorship agreement.
- I/We have been provided with a copy of the E\*TRADE Australia Financial Services Guide.
- I certify that the information provided in this form is accurate and current.

Account Holder 1:  
Signature:   
Name: (please print)   
Date:

Account Holder 2:  
Signature:   
Name: (please print)   
Date:

## Your Account Opening Checklist

You're almost done! We want to ensure your application is processed as quickly as possible so we can open your new E\*TRADE account. Here's a quick checklist:

1. Have you taken note of your Phone Password?
2. Have you completed all sections of this Application Form, including your Tax File Number (optional) and details of your existing margin lending account.
3. Have you attached a copy of your nominated Bendigo Bank or Adelaide Bank Account statement or a copy of your shareholder statements if you would like to transfer existing shares into your E\*TRADE account?
4. Have all registered Account Holders signed the Application Form?

Thank you. Please send your Application Form and supporting documentation to:

**Leveraged Equities**  
**Attention: Client Services Team**  
**GPO Box 5388**  
**Sydney NSW 2001**

## What's Next?

Once we receive your application, we'll send you a confirmation of your CHESS Sponsorship. Your account details and trading passwords will arrive by email – they're your keys to start trading!

### For Office Use Only

SCHEDULE (CHESS HOLDINGS) H.I.N:

Signed for and on behalf of ETRADE  
Australia Securities Limited

Authorised signatory